

# Learning corrections for hyperelastic models from data

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## 2 ABSTRACT

Unveiling physical laws from data is seen as the ultimate sign of human intelligence. While there 3 is a growing interest in this sense around the machine learning community, some recent works 4 have attempted to simply substitute physical laws by data. We believe that getting rid of centuries 5 of scientific knowledge is simply nonsense. There are models whose validity and usefulness is 6 out of any doubt, so try to substitute them by data seems to be a waste of knowledge. While it is 7 true that fitting well-known physical laws to experimental data is sometimes a painful process, 8 a good theory continues to be practical and provide useful insights to interpret the phenomena 9 taking place. 10

That is why we present here a method to construct, based on data, automatic corrections to 11 existing models. Emphasis is put in the correct thermodynamic character of these corrections, so 12 13 as to avoid violations of first principles such as the laws of thermodynamics. These corrections are 14 sought under the umbrella of the GENERIC framework [M. Grmela and H. Ch. Ottinger, Dynamics and thermodynamics of complex fluids. I. Development of a general formalism. Phys. Rev. E 15 56, 6620, 1997], a generalization of Hamiltonian mechanics to non-equilibrium thermodynamics. 16 This framework ensures the satisfaction of the first and second laws of thermodynamics, while 17 providing a very appealing context for the proposed automated correction of existing laws. In this 18 work we focus on solid mechanics, particularly large strain (visco-)hyperelasticity. 19

20 Keywords: Data-driven computational mechanics; hyperelasticity; model correction; GENERIC; machine learning

# **1 INTRODUCTION**

In a very recent paper about how construct machines that could eventually learn and think like humans, Lake et al. (2017) state that "machines should build casual models of the world that support explanations and understanding, rather than merely solving pattern recognition problems" and that "model building is the hallmark of human-level learning, or explaining observed data through the construction of causal models of the world". Indeed, machine learning of physical laws could be seen as the ultimate form of machine intelligence, and this should be done, of course, from data.

There is a very active field of research around this way of reasoning. For instance, in Brunton et al. (2016) a method is presented that operates on a *bag* of terms like sines, cosines, exponentials, etc., so as to find an 29 expression that is sparse (i.e., it incorporates few of theses terms) while still explaining the experimental

data. Similar approaches include techniques to find reduced-order operators from data (Peherstorfer and
Willcox, 2016, 2015) or the possibility to construct physics-informed machine learning (Swischuk et al.,

32 2018; Raissi et al., 2017a,b).

In the field of computational materials science, this approach seems to begin by the works of 33 Kirchdoerfer and Ortiz (2016, 2017a). In it, and the subsequent works, they present a method in which 34 the constitutive equation is substituted by experimental data, that could be possibly noisy (Kirchdoerfer 35 and Ortiz, 2017b; Ayensa-Jiménez et al., 2018). In them, it is recognized that some equations (notably, 36 equilibrium, compatibility) are of a higher epistemic nature, while constitutive equations-that are often 37 phenomenological and, therefore, of lower epistemic value-could easily be replaced by data (Latorre and 38 Montáns, 2014). The criterion is to establish a distance measure that indicates the closest experimental 39 datum to be employed every time the constitutive law is called at the finite element integration point level. 40

In some of our previous works, this approach is further generalized by defining the concept of *constitutive manifold*, a low-dimensional embedding for the stress-strain pairs, see Lopez et al. (2016). Thus, by alternating between stress-strain pairs that satisfy either equilibrium or the constitutive equation, the solution that satisfies the three families of equations is found, regardless of the non-linearity of the behavior. Several methods have been studied for the construction of this constitutive manifold (Ibañez et al., 2017).

46 Another inherent difficulty in trying to machine learning models is that of the adequate level of description. Every physical phenomenon can be described at different levels of detail. In the case of fluid mechanics, for 47 instance, these levels range from molecular dynamics to thermodynamics-in descending order of detail-. 48 In between, different theories have been developed that take care of different descriptors of the phenomenon 49 taking place: from the Liouville description to the Fokker-Planck equation, hydrodynamics, ... to name but 50 51 a few of the different possibilities (Español, 2004). Thus, there should be a compromise between detail in the description and the resulting computational tractability of the approach. This is something very difficult 52 to discern for an artificial intelligence. 53

The risk of employing an approach based upon pure data regression is to violate—due to the inherent noise in data, for instance—some basic principles such as the laws of thermodynamics: conservation of energy, positive dissipation of entropy. Trying to avoid these possible inconsistencies, in González et al. (2018) we developed a data-driven method that operates under the framework of the GENERIC formalism (Grmela and Öttinger, 1997; Öttinger, 2005). The General Equation for Non-Equilibrium Reversible-Irreversible Coupling (GENERIC) constitutes a generalization of the Hamiltonian mechanics. Therefore, under the GENERIC umbrella, the equations satisfy basic thermodynamic principles by construction.

Thus, the problem translates to finding—by means of data—the right expression of the particular GENERIC formalism for the system at hand (or its finite element approximation, if we work in a purely numerical framework). The resulting approximation is thermodynamically sound and very appealing from the numerical point of view. The stability of the GENERIC approach and its thermodynamic consistency in particular, the conservation of symmetries in the formulation—has been thoroughly investigated in previous works, whose lecture is greatly recommended (Romero, 2009, 2010).

However, even if the usual parameter fitting procedure from experimental data is often painful and, notably, gives poor fitting of the results in many occasions, we believe that well-known constitutive equations should not be discarded, thus waisting centuries of scientific discovery. Instead, we believe that it is interesting to simply *correct* those models that sometimes do not fit perfectly the results—sometimes locally, in a delimited region of the phase space—. This is the approach followed in Ibañez et al. (2018), 72 where corrections are developed to yield criteria so as to render them compliant (to a specified tolerance

revel) with the available experimental results. A similar approach has been pursued recently in Lam et al.(2017) for a study on the interaction of aircraft wings. In these approaches, the chosen level of description

75 is defined by the (poor) model, so, in principle, no further decision needs to be taken, as will be discussed

76 later on.

The GENERIC formalism is valid for all levels of description, and could also help in deriving corrections from data that still maintain the thermodynamic properties of the resulting model. Hyperelastic models fall within Hamiltonian mechanics—i.e., they represent a purely conservative material—. However, rubbers or foams usually present some degree of viscoelasticity, for instance. In this framework, Hamiltonian mechanics will no longer be the right formalism to develop their constitutive equations. GENERIC should be preferred instead.

In this paper we study how to learn these corrections from data. First, in Section 2 we review the basics of the GENERIC formalism, with an emphasis on hyperelastic and visco-hyperelastic materials. In Section 3 we explain how to employ GENERIC to develop corrections to existing models from data, while in Section 4 we introduce, by means of an academic example in finite dimensions, the basic ingredients of our approach. This will be further detailed for visco-hyperelastic materials in Section 5. The paper ends with a discussion on the just developed techniques and the future lineas of research in Section 6.

# 2 A REVIEW OF THE GENERIC FORMALISM

#### 89 2.1 The basics

The GENERIC formalism was introduced by Grmela and Öttinger (1997) in a seminal paper in an attempt 90 to give a common structure for non-Newtonian fluid models. The establishment of such a model in the 91 GENERIC framework starts by selecting appropriate state variables. This is not straightforward in a general 92 case in which we have no prior information about the precise behavior of the system at hand. However, for 93 most systems-and specially when we start from known models, as it is the case in this work-simple 94 rules exist for the selection of such variables (Öttinger, 2005). Selecting mutually dependent variables does 95 not constitute a problem, in fact, as most of the literature on GENERIC demonstrates. Let us call these 96 97 variables  $z_t = z(t) : \mathcal{I} \to \mathcal{S}, z \in \mathcal{C}^1(0, T]$ , and emphasize their obvious time dependency in the interval  $\mathcal{I} = (0, T]$ . S represents the space in which these variables live, which depends obviously on the particular 98 system under scrutiny. The final objective of the GENERIC model is to establish an expression for the time 99 100 evolution of these variables,  $\dot{z}(t)$ .

101 The GENERIC equation takes, under these assumptions, the form

$$\dot{\boldsymbol{z}}_{t} = \underbrace{\boldsymbol{L}(\boldsymbol{z}_{t})\nabla \boldsymbol{E}(\boldsymbol{z}_{t})}_{\text{Hamiltonian}} + \underbrace{\boldsymbol{M}(\boldsymbol{z}_{t})\nabla \boldsymbol{S}(\boldsymbol{z}_{t})}_{\text{Dissipative}}, \quad \boldsymbol{z}(0) = \boldsymbol{z}_{0}.$$
(1)

102 The first sum on the right-hand side term represent the Hamiltonian, or conservative, part of the behavior 103 of the system. In it, the term  $L(z_t)$  is the so-called Poisson matrix. The second sum is responsible for the 104 dissipative behavior of the system, with  $M(z_t)$  the so-called *friction* matrix. Here,  $E(z_t)$  represents the 105 total energy of the system, while  $S(z_t)$  represents its entropy. For Eq. (1) to give a valid description of any physical system, it must be supplemented with the so-called *degeneracy* conditions:

$$\boldsymbol{L}(\boldsymbol{z}) \cdot \nabla S(\boldsymbol{z}) = \boldsymbol{0}, \tag{2a}$$

$$\boldsymbol{M}(\boldsymbol{z}) \cdot \nabla E(\boldsymbol{z}) = \boldsymbol{0}. \tag{2b}$$

106 Enforcing these conditions leads to the necessity of L(z) to be skew-symmetric and a M to be symmetric, 107 positive semi-definite. If these conditions are met, then, it holds,

$$E(\boldsymbol{z}) = \nabla E(\boldsymbol{z}) \cdot \dot{\boldsymbol{z}} = \nabla E(\boldsymbol{z}) \cdot \boldsymbol{L}(\boldsymbol{z}) \nabla E(\boldsymbol{z}) + \nabla E(\boldsymbol{z}) \cdot \boldsymbol{M}(\boldsymbol{z}) \nabla S(\boldsymbol{z}) = 0, \quad (3)$$

which is, in fact, the equation of conservation of energy for the system. Additionally, these conditionsensure the satisfaction of

$$\dot{S}(\boldsymbol{z}) = \nabla S(\boldsymbol{z}) \cdot \dot{\boldsymbol{z}} = \nabla S(\boldsymbol{z}) \cdot \boldsymbol{L}(\boldsymbol{z}) \nabla E(\boldsymbol{z}) + \nabla S(\boldsymbol{z}) \cdot \boldsymbol{M}(\boldsymbol{z}) \nabla S(\boldsymbol{z}) \ge 0,$$
(4)

110 or, equivalently, the fulfillment of the second principle of thermodynamics.

Noteworthy, Eq. (1) constitutes the most general framework to develop a valid constitutive equation in the light of the principles of thermodynamics. A valid constitutive model must satisfy the GENERIC equation, and any possible correction to it should not deviate the result from this framework. For a thorough description of a long list of models under the GENERIC formalism, the interested reader can consult Öttinger (2012). To exemplify the just introduced concepts, consider the simplest case of a conservative mechanical system whose time evolution can be expressed, in the Hamiltonian framework, by resorting to a description of the type  $\dot{z}_t = {q_t, p_t}$ , where  $q_t$  represents the position and  $p_t$  the momentum. In that situation, the system is purely Hamiltonian and

$$oldsymbol{L}(oldsymbol{z}) = egin{bmatrix} oldsymbol{0} & oldsymbol{1} \ -oldsymbol{1} & oldsymbol{0} \end{bmatrix},$$

111 with no entropy evolution, i.e., M = 0. In this simple situation, L(z) turns out to be the canonical 112 symplectic matrix and the GENERIC description of the system reduces to that of a Hamiltonian system.

#### 113 2.2 Hyperelasticity under the prism of GENERIC

It is important to highlight the fact that, for hyperelastic materials, the expression

$$\dot{\boldsymbol{z}}_t = \boldsymbol{L}(\boldsymbol{z}_t) \nabla E(\boldsymbol{z}_t)$$

represents the usual hyperelastic problem under the Hamiltonian formalism (Romero, 2013). Indeed, if we choose  $\boldsymbol{z}(\boldsymbol{x},t) = [\boldsymbol{x}(\boldsymbol{X},t), \boldsymbol{p}(\boldsymbol{X},t)]^{\top}$ , where  $\boldsymbol{x} = \phi(\boldsymbol{X})$ —the deformed configuration of the solid—and  $\boldsymbol{p}$  represents the material momentum density, then,

$$\dot{oldsymbol{z}} = egin{bmatrix} \dot{oldsymbol{x}} \ \dot{oldsymbol{z}} = egin{bmatrix} \dot{oldsymbol{x}} \ \dot{oldsymbol{z}} = egin{bmatrix} \displaystyle \Delta E \ \displaystyle \Delta E \ \displaystyle \Delta E \ \displaystyle \Delta E \ \displaystyle \Delta P \ \displaystyle \Delta E \ \displaystyle \Delta P \ \displaystyle \Delta E \ \displaystyle \Delta P \ \displaystyle \Delta P \ \displaystyle \Delta E \ \displaystyle \Delta P \ \ \Delta P \ \displaystyle \Delta P \ \ \Delta P \ \displaystyle \Delta P \$$

The total energy of an elastic body  $\Omega$  can be decomposed as

$$E = W + K,$$

i.e., the sum of elastic and kinetic energies. Here, we assume a strain energy density potential w of the form

$$W = \int_{\Omega} w(\boldsymbol{C}) \ d\Omega,$$

where C represents the right Cauchy-Green deformation tensor. While, in general, the strain energy density for an isotropic case would be of the form w = w(X, C, S), in the context of isotropic hyperelasticity—a purely Hamiltonian case—, this dependence is often simply w = w(C). In turn, the kinetic energy will be

$$K = \int_{\Omega} \frac{1}{2\rho_0} |\boldsymbol{p}|^2 \, d\Omega.$$

In this framework, it is clear that

$$\frac{\partial E}{\partial \boldsymbol{x}} = \frac{\partial W}{\partial \boldsymbol{x}} = \boldsymbol{\nabla}_{\boldsymbol{X}} \cdot \boldsymbol{P} = \boldsymbol{\nabla}_{\boldsymbol{X}} \cdot [\boldsymbol{F}\boldsymbol{S}],$$

where P and S represent, respectively, the first and second Piola-Kirchhoff stress tensors and F is the deformation gradient. Given that

$$\boldsymbol{p} = 
ho_0 \boldsymbol{V} = 
ho_0 \frac{\partial \boldsymbol{x}}{\partial t},$$

with V the material velocity and  $\rho_0$  the density in the reference configuration so that, finally,

$$\dot{\boldsymbol{z}} = \begin{bmatrix} \dot{\boldsymbol{x}} \\ \dot{\boldsymbol{p}} \end{bmatrix} = \boldsymbol{L} \nabla E = \boldsymbol{L} \begin{bmatrix} \boldsymbol{\nabla}_{\boldsymbol{X}} \cdot \boldsymbol{P} \\ \frac{\boldsymbol{p}}{\rho_0} \end{bmatrix}$$

114 This implies that

$$\boldsymbol{L} = \begin{bmatrix} \boldsymbol{0}_{3\times3} & \boldsymbol{I}_{3\times3} \\ -\boldsymbol{I}_{3\times3} & \boldsymbol{0}_{3\times3} \end{bmatrix},$$
(5)

which is fully compliant with the GENERIC framework, see Eq. (1). This model is readily seen as equivalent to

$$\dot{oldsymbol{x}} = rac{oldsymbol{p}}{
ho_0},$$
 $oldsymbol{
abla}_{oldsymbol{X}} \cdot oldsymbol{P} = \dot{oldsymbol{p}},$ 

which correspond to the definition of the material momentum density and the equilibrium equation,respectively.

Under this rationale, the possible viscous effects in the material would be described by the second sum inEq. (1).

119 REMARK. We have stated that, under the GENERIC formalism, an isotropic Hamiltonian or conservative 120 hyperelastic model can be written in the form w = w(C) and therefore will not depend on S. This discussion 121 is strongly related with that of the adequate level of description of the model. In fact, many hyperelastic 122 models exist that depend on different parameters, that can influence its viscous behavior, for instance, see 123 Mihai and Goriely (2017).

124 Indeed, by introducing a new potential (entropy) in the formulation, what we are doing is to introduce 125 ignorance on these details, while still taking into account their influence on the results. It is the same process we face if we are not interested in tracking every molecule of a gas in a container but prefer instead a description based on macro-scale magnitudes such as pressure, volume, and temperature. The process of coarse-graining the description in a non-equilibrium setting makes it necessary to introduce a new potential that accounts for the neglected information: entropy (Pavelka et al., 2018; Español, 2004). Thus, in the correction procedure that we are about to introduce, there will be no need to add new variables to the model, but an adequate entropy potential to the formulation.

The problem of constructing a valid constitutive model under the GENERIC point of view is therefore 132 reduced to that of finding the particular structure of the terms L(z), E(z), M(z) and S(z). The classical 133 134 approach is to do it analytically, as in Romero (2009, 2010), for instance, or Vázquez-Quesada et al. (2009); Español (2004), to name but a few of the examples in the literature. A different approach is to find the 135 structure of these terms numerically, from data. This will be done possibly with the help of manifold 136 137 learning techniques such as LLE (Roweis and Saul, 2000) or isomap (Tenenbaum et al., 2000), among others. It is the approach followed by the authors in González et al. (2018) and, in some sense, it is also 138 139 the approach followed by Millán and Arroyo (2013) without even knowing the structure of GENERIC. 140 This approach is also somehow related to the use of compositional rules to construct models (Grosse et al., 2012). This last reference shares with the approach herein the need of identifying the structure of several 141 142 matrices that are then used to develop models-in that case, of phenomena that do not even obey the laws 143 of physics, such as voting tendencies, for instance.

## **3 CORRECTING MODELS IN A GENERIC FRAMEWORK**

144 In this work we do not pursue to unveil models by means of GENERIC and experimental data. As explained 145 in the introduction, we believe that is simply nonsense to discard models that have demonstrated to be 146 useful for decades. In the case of hyperelasticity, these include, among a wide list of references, the works 147 of Treloar (1975), Ogden (1984) or Holzapfel and Gasser (2000). These models, as analyzed before, already 148 had a GENERIC structure.

Purely hyperelastic materials are strictly conservative. However, soft living matter, for instance, that is often modeled under the hyperelastic theory, present some non-negligible viscous effects (Peña et al., 2011;

151 García et al., 2012). In that case, in the light of the GENERIC formalism, it is necessary to complement the 152 model with a dissipative part, i.e., to determine the precise form of M(z) and S(z).

What we will do in this work, in fact, is to assume that an inexact model exists, so that a correction is needed,

$$\boldsymbol{z}^{\operatorname{corr}} = \boldsymbol{z}^{\operatorname{exp}} - \boldsymbol{z}^{\operatorname{mod}},$$

where "corr", "exp" and "mod" stand, respectively, for correction, experimental and model. We will develop a correction in the GENERIC framework so as to guarantee that the corrected model for the experimental results will also have a GENERIC structure. To this end, we cast the correction in the form

$$\dot{\boldsymbol{z}}^{\text{corr}} = \boldsymbol{L} \nabla E(\boldsymbol{z}^{\text{corr}}) + \boldsymbol{M} \nabla S(\boldsymbol{z}^{\text{corr}}).$$

We do not consider a correction for L nor M, since, in the light of the previous remark, L is assumed to be identical to that of the model (we consider the same state variables). Since the correction of the model could have an important influence on the form of M—recall again the remark in the previous section, we attribute to S the possible presence of fine-grained state variables that are not considered in the Hamiltonian part of the model—, we discard any possible M coming from the inexact model and instead re-compute it

from scratch. With these assumptions, the resulting model that fits with the experimental results will have the form

$$\dot{\boldsymbol{z}}^{\text{exp}} = \dot{\boldsymbol{z}}^{\text{mod}} + \dot{\boldsymbol{z}}^{\text{corr}} = \boldsymbol{L}\nabla E(\boldsymbol{z}^{\text{corr}}) + \boldsymbol{M}\nabla S(\boldsymbol{z}^{\text{corr}}) + \boldsymbol{L}\nabla E(\boldsymbol{z}^{\text{mod}}),$$

so that, finally,

$$\dot{\boldsymbol{z}}^{\text{exp}} = \boldsymbol{L} \left( \nabla E(\boldsymbol{z}^{\text{corr}}) + \nabla E(\boldsymbol{z}^{\text{mod}}) \right) + \boldsymbol{M} \nabla S(\boldsymbol{z}^{\text{corr}}),$$

which proves that the corrected model for  $z^{exp}$  possesses a GENERIC structure with a correction in the Hamiltonian term.

Consider that a set of  $n_{\text{meas}}$  experimental measurements  $Z = \{z_0^{\exp}, z_1^{\exp}, \dots, z_{n_{\text{meas}}}^{\exp}\}$  is available. The predictions of the inexact model are then subtracted from the experimental results. The final objective will be therefore to obtain a discrete approximation

$$\frac{\boldsymbol{z}_{n+1}^{\text{corr}} - \boldsymbol{z}_{n}^{\text{corr}}}{\Delta t} = \mathsf{L} \ \mathsf{DE}(\boldsymbol{z}_{n+1}^{\text{corr}}) + \mathsf{M}(\boldsymbol{z}_{n+1}^{\text{corr}})\mathsf{DS}(\boldsymbol{z}_{n+1}^{\text{corr}}),$$

to the GENERIC structure of the discrepancy between data and experiments, by identifying DE(z), and possibly also M(z) and DS(z). DE and DS represent the discrete gradients (in a finite element sense).

Therefore, the proposed algorithm will consist in solving the following (possibly constrained by the degeneracy conditions) minimization problem within a time interval  $\mathcal{J} \subseteq \mathcal{I}$ :

$$\mu^* = \{\mathsf{M}, \mathsf{DE}, \mathsf{DS}\} = \operatorname*{arg\,min}_{\mu} || \boldsymbol{z}(\mu) - \boldsymbol{z}^{\texttt{meas}} ||,$$

with  $z^{\text{meas}} \subseteq Z$ , a subset of the total available experimental results. See the discussion in González et al. (2018) about how to determine the right size of the sample set, the possibility of employing monolithic or

159 staggered strategies, etc.

160 In the next Section this procedure is exemplified with the help of an academic example in finite 161 dimensions.

#### **4 AN INTRODUCTORY EXAMPLE**

162 We first consider an example analyzed in Romero (2009) and then again in González et al. (2018). The 163 system is a double pendulum, which is connected by thermoelastic springs. It comprises two masses  $m_1$ 164 and  $m_2$  connected by springs of internal energy  $e_1$  and  $e_2$ . They oscillate around a fixed point, see Fig. 1. 165 We employ the classical notation of Hamiltonian mechanics where  $q_i$ ,  $p_i$ , i = 1, 2 represent position and 166 momenta, respectively. For the springs, their respective entropies are  $s_j$ , and the longitudes at rest will be 167 denoted by  $\lambda_j^0$ , j = a, b.

[Figure 1 about here.]

The set of state variables for this double pendulum will be therefore

 $\mathcal{S} = \{ \boldsymbol{z} = (\boldsymbol{q}_1, \boldsymbol{q}_2, \boldsymbol{p}_1, \boldsymbol{p}_2, s_1, s_2) \in (\mathbb{R}^2 \times \mathbb{R}^2 \times \mathbb{R}^2 \times \mathbb{R}^2 \times \mathbb{R} \times \mathbb{R}), \boldsymbol{q}_1 \neq \boldsymbol{0}, \boldsymbol{q}_2 \neq \boldsymbol{q}_1 \}.$ 

168

The GENERIC structure for this problem needs to consider the internal energy of the system. Again, the internal energy is composed by the kinetic energy of the masses and the potential energy in the springs, i.e.,

$$E(\boldsymbol{z}) = K_1(\boldsymbol{z}) + K_2(\boldsymbol{z}) + e_a(\lambda_a, s_a) + e_b(\lambda_b, s_b),$$

with

$$\lambda_a = \sqrt{\boldsymbol{q}_1 \cdot \boldsymbol{q}_1}, \ \ \lambda_b = \sqrt{(\boldsymbol{q}_2 - \boldsymbol{q}_1) \cdot (\boldsymbol{q}_2 - \boldsymbol{q}_1)}.$$

The temperature in the springs,  $\theta_i$ , is assumed to be originated by the Joule effect,

$$\theta_j = \frac{\partial e_j}{\partial s_j}, \ j = a, b.$$

The conductivity in the springs will be denoted by  $\kappa$ . Under this rationale, the resulting equations for the double pendulum will be

$$egin{aligned} \dot{m{q}}_i &= rac{m{p}_i}{m_i}, \ \dot{m{p}}_i &= -rac{\partial}{\partialm{q}_i}(e_a + e_b), \ \dot{s}_j &= \kappa \left(rac{ heta_k}{ heta_j} - 1
ight), \end{aligned}$$

with  $i = 1, 2, j = a, b, k \neq j$ . Therefore, the gradients of the GENERIC formalism will look

$$\nabla E(\boldsymbol{z}) = \left( f_a \boldsymbol{n}_a - f_b \boldsymbol{n}_b, f_b \boldsymbol{n}_b, \frac{\boldsymbol{p}_1}{m_1}, \frac{\boldsymbol{p}_2}{m_2}, \theta_a, \theta_b \right),$$
(6a)

$$\nabla S(\mathbf{z}) = (\mathbf{0}, \mathbf{0}, \mathbf{0}, \mathbf{0}, 1, 1),$$
 (6b)

169 with  $f_j$ ,  $n_j$ , j = a, b, the forces in the springs and their respective unit vector along their direction.

170 Poisson and friction matrices will result in this case,

However, we will assume that this description of the system is not available—will be used as a groundtruth to determine errors—and that the system is thought to be purely Hamiltonian.

In this scenario, the goal of our method will be that of unveiling the dissipative part of the model so as to correct the pure Hamiltonian behavior of the assumed model. In other words, the system will be considered as modeled by

$$\dot{\boldsymbol{z}}_t = \boldsymbol{L}(\boldsymbol{z}_t) \nabla E(\boldsymbol{z}_t),$$

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173 with L as in Eq. (7) and  $\nabla E$  as defined in Eq. (6a). Results of the ground truth, the assumed (purely 174 Hamiltonian) model and the found corrected model are shown in Fig. 2.

176 The mean squared error of the assumed model with respect to the pseudo-experimental data was initially

177 0.1732%. Note the little influence of the Joule effect on the results. However, after a correction is found

and the dissipative character of the model is taken into account, this error is decreased up to 0.0125%, i.e., one order of magnitude.

## **5 CORRECTIONS TO HYPERELASTIC MODELS**

180 In order to show the full capabilities of the proposed method, we consider now an example of a visco-181 hyperelastic material whose precise constitutive model is to be corrected from experimental data.

#### 182 5.1 Ground truth. Pseudo-experimental data

The pseudo-experimental data is obtained by finite element simulation of a visco-hyperelastic Mooney-Rivlin material in which

$$W = C_1(\overline{I}_1 - 3) + C_2(\overline{I}_2 - 3) + D_1(J - 1)^2,$$
(8)

with  $\overline{I}_1 = J^{-\frac{2}{3}}I_1$  and  $\overline{I}_2 = J^{-\frac{4}{3}}I_2$ , and where the invariants of the right Cauchy-Green tensor C are defined as  $I_1 = \lambda_1^2 + \lambda_2^2 + \lambda_3^2$ , and  $I_2 = \lambda_1^2 \lambda_2^2 + \lambda_2^2 \lambda_3^2 + \lambda_3^2 \lambda_1^2$ , respectively. J represents, as usual, the determinant of the gradient of deformation tensor. In this case,  $C_1 = 27.56$  MPa,  $C_2 = 6.89$  MPa and  $D_1 = 0.0029$  MPa.

To model the viscoelastic behavior of this rubberlike material, it is assumed that the material's shear modulus G and bulk modulus K evolve in time. This evolution is modeled by means of a Prony series in terms of the instantaneous moduli,

$$\frac{G(t)}{G_0} = 1 - \sum_{i=1}^2 \overline{g}_i^P \left( 1 - \exp\left(-\frac{t}{\tau_i}\right) \right),$$
$$\frac{K(t)}{K_0} = 1 - \sum_{i=1}^2 \overline{k}_i^P \left( 1 - \exp\left(-\frac{t}{\tau_i}\right) \right),$$

189 with  $\overline{g}_i^P = [0.2, 0.1]$  and  $\overline{k}_i^P = [0.5, 0.2]$ . The relaxation times take the values  $\tau_i = [0.1, 0.2]$  seconds, 190 respectively. With these values, the initial instantaneous Young's modulus takes the value E = 206.7 MPa, 191 with Poisson's ratio  $\nu = 0.45$ .

Data was generated after a total of 557 different loading processes to the same specimen. It was subjected to a load history of different amplitudes. In every case, a first plane stress state  $(\sigma_x, \sigma_y, \tau_{xy})$ —values are not correlated—is applied during a short impulse of 0.021 seconds, then maintained at constant value for one more second, allowing the material to creep. This is followed by a second loading process of 0.021 seconds at a different  $(\sigma_x, \sigma_y, \tau_{xy})$  value, followed by a final plateau of one more second. For each one of the 557 different experiments these two stress states were different. These results are stored in the form of 557 different Z vectors, thus representing a trajectory in time.

#### 199 5.2 Modeling the results with a purely hyperelastic model

After the generation of the pseudo-experimental data, we tried to reproduce these results with a deliberately wrong model: the material was assumed to be modeled by a Mooney-Rivlin model with no viscous response (and thus purely Hamiltonian or conservative). The comparison of the experimental results and the predictions given by this (poor) model are shown in Fig. 3.

204 [Figure 3 about here.]

It seems obvious that a classical Mooney-Rivlin model can not reproduce the viscous behavior of the reference material. In the next section a correction to this model is developed based on the available data and the procedure introduced in Section 3.

#### 208 5.3 Correction of the dissipative part of the model

Knowing in advance that the pseudo-experimental results come from a viscous modification to a Mooney-Rivlin model, a first attempt is made of finding a correction by incorporating a dissipative part in the GENERIC description of the model. To this end, for each one of the experimental results, a fitting procedure of the dissipative GENERIC terms was accomplished.

In Fig. 4 results are shown for one of the 557 essays. Experimental results, Mooney-Rivlin prediction and the subsequent GENERIC correction are shown. As can be noticed, experimental results are captured to a high degree of accuracy. In this case, for the particular test shown in Fig. 4, the mean squared error was 0.018%. All the tests showed similar levels of error.

217 [Figure 4 about here.]

## 218 5.4 What if some terms need no correction?

Of course, in general we will not know in advance that a particular model is the best for the Hamiltonian part of the behavior. In a general situation both parts of the model will need to be corrected. To show the robustness of the presented method, we demonstrate here that if we try to correct the Hamiltonian part of the model, the method is able to detect that it is already correct (Mooney-Rivlin) and that it needs no correction. The method proceeds by correcting the dissipative part only, obtaining the same levels of error as the preceding section.

## 225 5.5 Constructing the good model

The final goal of the method is not to reproduce each one of the experimental results, but to be able to construct a true model from data. To this end, we first unveil the underlying manifold structure of the experimental data. The temporal series of  $z^{\exp}(t)$  is grouped into a high dimensional vector, one for each of the 557 experiments. These are then embedded, by means of Locally Linear Embedding techniques (Roweis and Saul, 2000) onto a low-dimensional manifold. This permits to unveil the true neighborhood structure between experimental data and, notably, to perform rigorous interpolation on the manifold structure—and not on the Euclidean space—among data.

The first step when applying LLE techniques to a set of high-dimensional data is to find the right dimensionality of the embedding space. To do so, the eigenvalues of the projection matrix are usually studied. These are depicted in Fig. 5.

#### 236

#### [Figure 5 about here.]

The first LLE eigenvalue is always close to zero within machine precision, and is discarded. The next "isolated" eigenvalues represent the true dimensionality of the embedding space (in this case, three). The rest of the eigenvalues are usually much closer to each other and do not represent the right dimensionality of the embedding space. Therefore, it seems that the right dimensionality of the embedding space is three—even two.

Locally Linear Embedding techniques need some user intervention to determine, by trial and error, the adequate number of neighbors for each datum. In this case we assume some 20 neighbors for each one. The key step in finding the good low-dimensional embedding of the data is to find a vector of weights W that minimizes the functional

$$\mathcal{F}(\boldsymbol{W}) = \sum_{m=1}^{557} \left\| \boldsymbol{z}_m - \sum_{i=1}^{20} W_{mi} \boldsymbol{z}_i \right\|^2.$$

Once these weights are found, LLE assumes that they continue to be valid in the low-dimensional embedding, and looks for the new coordinates  $\boldsymbol{\xi}$  in this space accordingly, by minimizing a new functional

$$\mathcal{G}(\boldsymbol{\xi}_1, \dots, \boldsymbol{\xi}_{557}) = \sum_{m=1}^{557} \left\| \boldsymbol{\xi}_m - \sum_{i=1}^{20} W_{mi} \boldsymbol{\xi}_i \right\|^2.$$

This procedure allows us to find the *constitutive manifold*, as defined in Ibañez et al. (2017). It is shown in Fig. 6. The objective of this validation procedure will be to try to reproduce a control point in the manifold—a complete loading history, in fact—by obtaining its GENERIC model from the neighboring experimental points. This control point is shown in red in Fig. 6.

In Fig. 7 the result of the interpolated model (in continuous line) and the eight neighboring experimental results (dashed lines) that served to construct the final GENERIC model for the red point in Fig. 6 are shown. The mean squared error with respect to the control experimental history resulted be 0.174%.

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[Figure 7 about here.]

#### 251 5.6 Full model correction

In the preceding sections we assumed that the Hamiltonian part of the model (basically, a Mooney-Rivlin model) was known and that the model needed only some amendment in its dissipative part. In this section we study the performance of the proposed technique if every term in the assumed model is wrong.

To this end, we assume for the solid a Neo-Hookean model with no viscous dissipation. The neo-Hookean model is basically equal to Mooney-Rivlin, see Eq. (8), with  $C_2 = 0$ . To make things even more difficult, we assume a bad calibration of the instruments so that, for this "wrong" model,  $C_1 = 68.9$  MPa (four times the right value for the Mooney-Rivlin model—the actual one—) and  $D_1 = 0.0016$ .

Proceeding like in previous sections, we first computed corrections for each one of the 557 different experimental time series. For one of these essays, the prediction given by the "wrong" (neo-Hookean) model, the experimental results (coming from the Mooney-Rivlin model) and the corresponding correctedmodel predictions are shown in Fig. 8.

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[Figure 8 about here.]

For this particular case (every experiment provided similar results), the initial error for the prediction given by the "wrong" neo-Hookean model was 13.05%. After correction, the relative mean square error in the time history was 0.092%.

267 Once the whole 557 experiments have been corrected, the constitutive manifold for this material can be 268 constructed by LLE methods, as detailed in Section 5.5.

With this constitutive manifold thus constructed we can now evaluate the behavior of any new strain-stress state by simply locating it in the manifold, determining its surrounding neighbors, and employing the LLE weights to interpolate its GENERIC terms. This was done for one of the experimental results, that was removed from the manifold for control purposes, and interpolated from its neighbors. The result of this process is shown in Fig. 9.

[Figure 9 about here.]

The mean squared error along the time history with respect to the control experiment was 1.057%.

#### 6 **DISCUSSION**

From the results just presented, it is clear that the proposed technique presents an appealing alternative
for the machine learning of models from data. Instead of constructing data-driven models from scratch,
constructing only corrections to existing, well-known models has shown to provide very accurate results
that very much improve these models.

One key ingredient in these developments is the concept of constitutive manifold, that allows to interpolate experimental results in the right manifold structure. Existing works choose simply the nearest experimental neighbor, but, notably, this neighborhood is found in an Euclidean space (Kirchdoerfer and Ortiz, 2016) or in a Mahalanobis space (Ayensa-Jiménez et al., 2018).

The presented method is robust even if some parts of the model need no correction. The final method, as has been presented, has the important property of being sound from the thermodynamic point of view, guaranteeing, thanks to its GENERIC structure, the conservation of energy and positive production of entropy.

From the numerical point of view, the resulting, GENERIC-based time integrator schemes have already demonstrated their ability to conserve the right symmetries of the system (see, for instance, Romero (2009) or González et al. (2018)). In sum, we believe that the just presented technique, that should be extended to other types of systems, presents a promising future.

#### **CONFLICT OF INTEREST STATEMENT**

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

## **AUTHOR CONTRIBUTIONS**

DG, FC and EC contributed in the conception and design of the study; DG coded the Matlab program; EC wrote the first draft of the manuscript; All authors contributed to manuscript revision, read and approved the submitted version.

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## DATA AVAILABILITY STATEMENT

The datasets [GENERATED/ANALYZED] for this study can be found in the [NAME OF REPOSITORY][LINK].

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### **FIGURE CAPTIONS**



Figure 1. Double thermal pendulum.



**Figure 2.** Results for the thermal pendulum problem. Results are shown (see the detail in the small window in the bottom figure) for the ground truth (pseudo-experimental data), the uncorrected (purely Hamiltonian) assumed model and the corrected one.



**Figure 3.** Loading process for one particular experiment. Pseudo-experimental response and prediction made by the standard (non-viscous) Mooney-Rivlin material.



**Figure 4.** Comparison of the Mooney-Rivlin model prediction and its subsequent GENERIC correction with the experimental results for one particular experiment.



**Figure 5.** Evolution of the eigenvalues of the projection matrix in the embedding of experimental data. Only the first 17 eigenvalues are shown for clarity.



**Figure 6.** Obtained constitutive manifold by embedding the experimental results onto a three-dimensional space. Only a portion of the 557 experimental results are shown for clarity. In red, control point employed to validate the approach. Note that it is surrounded by a user-defined number of neighbors, whose GENERIC model is employed to obtain, by interpolation by means of the LLE weights, the sought model.



**Figure 7.** Result (continuous line) of the interpolation on the constitutive manifold of the eight neighboring experimental results (in dashed line). These are the time history of the eight neighboring points in Fig. 6.



**Figure 8.** Experimental results (circles), prediction made by the neo-Hookean model (dashed line) and corrected model (red line) for experiment number 85.



**Figure 9.** Experimental results (circles) for a new experiment, prediction made by the neo-Hookean model (dashed line) and interpolated corrected model (red line). The interpolation is made by employing the same weights provided by LEE techniques in constructing the constitutive manifold.